

# Clinical implications of anti-HLA antibodies testing in kidney transplantation

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## ABSTRACT

Alloantibodies against donor human leukocyte antigens (HLA), termed as donor-specific antibodies (DSA), are one of the most important factors for both early and late kidney allograft dysfunction. In the past, these antibodies were mainly detected through cell-based crossmatch tests. Recently, new techniques such as solid phase immunoassays (SPI) have revealed these antibodies in patient sera with a high degree of detail, previously unimaginable. They have allowed us to accurately determine recipients' allosensitization status, improve pre-transplant risk assessment with a potential donor and post-transplant alloimmune monitoring. However, the high sensitivity of these new assays has also created areas of uncertainty about their clinical impact.

In the pre-transplant setting, the presence of preformed DSA has been associated with an increased risk of antibody-mediated rejection (AMR) and subsequent allograft loss. Nevertheless, several studies have shown that not all DSA are deleterious. Hence, understanding the clinical correlations of DSA characteristics, namely strength, HLA class, complement-fixing ability or IgG subclasses, is paramount for an adequate stratification of the immunological risk at transplant. Furthermore, given that the number of allosensitized patients on waiting lists is increasing, the added information from these new SPI is essential to improve their chance of being transplanted with an admissible immunological risk.

After transplantation, the appearance of *de novo* DSA (*dn*DSA) has also been associated with a deleterious effect on kidney allograft survival. Moreover, it has been acknowledged that a majority of late allograft failures are caused by alloantibody-driven injury. The current challenges, in this setting, are determining cost-effective DSA screening protocols and understanding which patients could benefit from specific interventions. Furthermore, although therapeutic strategies to control antibody-induced damage remain limited, the longitudinal surveillance of *dn*DSA emergence and the clinical correlations of their characteristics will play a crucial role in the improvement of late kidney allograft survival.

**Keywords:** allosensitization, cell-based crossmatches, donor-specific antibodies, kidney transplantation, solid-phase immunoassays

## INTRODUCTION

Despite improvements in patient selection and management, most transplants carry some immunological risk. Alloantibodies against human leukocyte antigens (HLA), chiefly donor-specific antibodies (DSA), either present at the time of transplantation

or arising *de novo* post-transplant, are a risk factor for antibody mediated rejection (AMR) and potentially kidney allograft loss<sup>1</sup>. Hence, avoiding DSA at transplant is a desirable objective, although not always possible to attain, particularly in highly-sensitized (HS) patients. Moreover, it has been demonstrated that patient survival is improved with HLA-incompatible

kidney transplantation together with desensitization strategies in comparison to waiting on dialysis for a HLA-compatible allograft<sup>2</sup>.

Our aims in this review are to provide a practical guide for using solid phase immunoassays (SPI) and crossmatch (XM) testing, either before or after kidney transplantation, and to contribute to a better understanding of their clinical implications.

## ■ TECHNICAL ASPECTS

Kidney transplantation (KT) requires a thorough immunological study between donor and recipient pair (Table 1).

**Table 1**

Kidney transplant candidates' laboratory assays\*

<b>Pre-transplant</b>	On the waiting list
	HLA antigen typing
	Anti-HLA antibodies screening with CDC & PRA-CDC <sup>#</sup>
	Anti-HLA antibodies screening with SPI <sup>#</sup>
<b>Pre-transplant</b>	At an organ offer
	Virtual crossmatch
	CDC crossmatch
<b>Post-transplant</b>	Flow cytometry crossmatch in allosensitized patients
	Transplant recipient
	Anti-HLA antibodies screening with SPI
	Anti-HLA antibodies identification with SAB, to assess if DSA are present

CDC – complement-dependent-cytotoxicity; PRA – panel reactive antibodies; SPI – solid-phase immunoassays; SAB – single-antigen beads; UA – unacceptable antigens; cPRA – calculated PRA; DSA – donor-specific antibodies.

\* According to Circular Normativa nº1/DQS de 07/01/09 da Direção Geral da Saúde.

<sup>#</sup> Performed every three months until transplant.

<sup>§</sup> Performed annually until transplant.

### ■ HLA Typing

HLA typing was first performed by lymphocytotoxic assays<sup>3</sup> but since polymerase-chain-reaction (PCR) description in 1990<sup>4</sup>, molecular methods have improved greatly and performing HLA typing at the antigen level for HLA-A, -B and –DRB1 *loci* is now mandatory for all donors and recipients pairs. Extended typing for HLA-C, -DQ and/or –DP should also be performed to assess complete degree of matching between the pair, especially if the recipient has alloantibodies against any of these *loci*.

The methods employed are sequence-specific-primer (SSP)<sup>5</sup>, real-time PCR (qPCR), reverse sequence-specific oligonucleotide (rSSO)<sup>6</sup> and sequence-based typing (SBT)<sup>7</sup>. The choice of the method depends on the resolution needed, how urgent the need for the results is and the number of samples to process.

Recently, a new technology of next generation sequencing (NGS) has been introduced in histocompatibility laboratories, allowing allelic level typing with high throughput<sup>8</sup>, an improvement still controversial<sup>9</sup>. Although it would allow better HLA matching, with an indisputable role in reducing sensitization, improving allograft survival<sup>10</sup>, and clearly benefiting sensitized patients<sup>11</sup>, it would also prevent transplants offers for rare alleles due to the high polymorphism of HLA region<sup>12</sup>.

Understanding that transplanting all patients with a HLA full-match allograft is an impossible goal, and that HLA mismatches are inevitable, it is our task to minimize their impact. In this context, the future for organ allocation systems can be HLA eplet matching by electing transplants with low HLA eplet mismatch (MM) load and avoiding highly immunogenic eplets<sup>13</sup>.

Besides matching, HLA typing is of extreme importance when performing the virtual crossmatch (vXM) at an organ offer (Table 1). A vXM is positive when a patient has HLA alloantibodies against a particular donor, i.e. DSA. A positive vXM usually is a contra-indication for transplant but, depending on the *locus* involved, alloantibody strength, patient immunological history and sensitization status, it can be considered as a risk factor with appropriate immunosuppression strategies<sup>2,14</sup>.

### ■ Allosensitization status

About 25–35% of patients on the waiting list for KT are pre-sensitized<sup>15</sup>, mainly due to previous transplants, followed by pregnancies and transfusions<sup>16</sup>. While on the waiting list, KT candidates must undergo alloantibody testing every three months and after each sensitizing event, with cellular and SPI (Table 1).

#### Cellular assays

Cellular assays are crossmatch tests between donor lymphocytes and recipient sera. To accomplish this, viable donor lymphocyte isolation is required, usually performed by density gradient centrifugation<sup>17</sup>. More recently, lymphocyte isolation with magnetic beads has been introduced with considerable improved results<sup>18</sup>.

Cell-based crossmatches include complement-dependent cytotoxicity (CDC) and flow cytometry crossmatch (FCXM).

#### *Cytotoxic Crossmatch*

CDC crossmatch (CDC-XM) was first described by Terasaki and Patel in 1969<sup>19</sup>. They showed that the presence of alloantibodies in recipient sera against antigens expressed on donor lymphocytes was a major risk factor for immediate allograft loss.

The assay consists of a first incubation (30 minutes) of recipient sera and donor cells in a micro-well tray allowing, if present, DSA binding to donor cells. It can be performed from total lymphocyte population or after T- and B-lymphocytes sub-populations separation. Complement is then added following a second incubation (60 minutes), activating the complement classical pathway, resulting in lymphocyte lysis. The cell membrane loss of integrity is visualized with an inverted fluorescence microscope, after the addition of a vital dye. Percentage of cell lysis is recorded using the accepted International Histocompatibility Workshop (IHW) scoring system (0,1,2,4,6,8).

This methodology, called National Institute of Health (NIH) basic, standard or classic CDC-XM, detects the presence of IgG1, IgG3 and IgM cytotoxic alloantibodies and is performed at an organ offer (Table 1), reducing hyperacute and early accelerated rejection episodes. However, it has also been reported that some patients experienced early allograft loss despite a negative CDC-XM. To overcome this, several changes to the original technique were proposed to improve sensitivity, such as extended incubation times<sup>20</sup>, washing steps following first incubation removing unbound sera before adding the complement<sup>21</sup>, or amplifying complement activation and cell lysis with anti-human globulin (AHG)<sup>22</sup>. Nevertheless, these assays are also associated with false positive results due to clinically irrelevant non-HLA antibodies and HLA IgM alloantibodies. The latter are frequent in patients with autoimmune disorders and can be overcome by treating recipient's sera with dithiothreitol (DTT), reducing IgM disulfide bonds<sup>23</sup>. Also, autoreactive cytotoxic alloantibodies can generate a positive irrelevant CDC-XM. In these cases, to assist the interpretation of the allo-XM, an auto-XM is recommended<sup>24</sup>.

#### *Flow Cytometry Crossmatch*

Despite all uplifts in the classic CDC-XM technique, it was only with FCXM that a true sensitivity boost was seen in cellular assays, allowing the detection of low level DSA<sup>25</sup>. For this reason, a positive FCXM with a

negative CDC-XM does not predict hyperacute rejection, but a lower allograft survival at 1-year<sup>20</sup>. This assay is performed at an organ offer for every sensitized patient and in most living donations (Table 1).

This methodology detects non-complement fixing alloantibodies and was first described by Garovoy *et al*<sup>26</sup>. In 1989, Bray *et al.* described a dual-color method<sup>27</sup> and in 1996 a three-color method was described by Robson *et al.*<sup>28</sup>. This assay consists of an indirect immunostaining where antibody-antigen interaction is identified using an anti-human immunoglobulin (F(ab')<sub>2</sub> anti-IgG) labeled with a fluorochrome. T- and B- lymphocyte subpopulations are identified using the monoclonal alloantibodies anti-CD3 and anti-CD19, labeled with fluorochromes with different emission wavelengths. A positive reaction is calculated based on the median channel shift (MCS) between negative controls and patient sample using a cut-off value established within each laboratory.

This technique has also been a subject of different improvements, with Lobo *et al.*<sup>29</sup> describing that pronase treatment of the cells, prior to the FCXM assay, increased sensitivity and specificity<sup>30</sup>. It has also been shown that this treatment is effective in eliminating rituximab interference used for desensitization<sup>31</sup>.

Recently Liwski *et al.* investigated the impact of several assay parameters, such as incubation times and temperatures, cell number per reaction and serum:cell suspension volume ratio, developing of a rapid FCXM procedure: the Halifax and Halifax protocols<sup>18</sup>. The Canadian group optimized protocols allow cost reduction with decreased assay time, without compromising sensitivity.

#### **Solid-phase immunoassays**

SPI consist of solid-phase platforms with purified HLA antigens covalently bound, such as the wells of a polystyrene microplates or microspheres. These tests are performed every three months before transplant, but also after transplantation ideally after the first, third, sixth month and then annually (Table 1).

#### *ELISA*

Enzyme-linked immunosorbent assays (ELISA) has been described by several authors as an alternative method to cell-based assays<sup>32</sup>. Soluble HLA (sHLA) antigens are affixed to the wells of microtiter plates. HLA specific alloantibodies present in patient sera will bind to HLA antigen after being added to the well. This antibody-antigen interaction is detected by the

addition of an alkaline phosphatase-conjugated with anti-human immunoglobulin (IgG) antibody. A quantitative measure of the extent of reaction is obtained by spectrophotometric determination following the addition of the appropriate enzyme substrate for the color development.

#### *Multi-analyte profiling (xMAP®) technology*

xMAP® technology is a multiplex assay that uses a panel of fluorescently dyed micron-sized polystyrene microspheres, produced by the internal conjugation of variable amounts of two or three dyes, enabling the identification of 100 or 500 different beads, respectively. HLA antigens are bound to these coded-color beads and, after a first incubation with patient's sera, any HLA alloantibodies present bind to the antigens on the beads. This reaction is detected, after a second incubation with R-Phycoerythrin (PE)-conjugated goat anti-human IgG, with a Luminex® flow analyzer (LAB-Scan™ 100 or LABScan3D™) that simultaneously detects the fluorescent emission of PE and the dye signature from each bead. The light signal produced by bound is proportional to its concentration and is expressed as the mean fluorescence intensity (MFI).

The first xMAP® assay described used beads coated with HLA proteins extracted from individuals' cells, allowing improved standardization in HLA alloantibodies detection. These beads can be composed by a pooled antigen panel – screening assay<sup>33</sup> or by a single individual cell line – phenotypic assays<sup>34</sup>. Although a substantial improvement was brought to histocompatibility laboratories by these assays, it was still difficult to assign alloantibody specificity, especially for highly sensitized patients. To surpass this limitation, the same group published a modification of the assay that revolutionized allosensitization assessment<sup>35</sup>. This improved method consists of coated beads with a single class I or class II HLA recombinant antigen; the single antigen bead (SAB) assay. Since then, SAB has been the method of choice for unacceptable HLA antigen assignment in patients on the waiting list for deceased donor kidney transplantation, although the cut-off value for positivity definition has been a challenge. Despite the 1000–1500 MFI value is usually taken into consideration, we must not forget that this is a semi-quantitative assay and epitope and cross-reactive groups (CREG) should be considered in the analysis<sup>36</sup>.

Classic SAB assay detects anti-HLA alloantibodies of all IgG subclasses, but they are not equally detrimental. To address this issue, a modification of the standard SAB IgG method to detect only complement-binding

HLA alloantibodies was developed<sup>37</sup>. In this test, human complement C1q is added in the first incubation with patient sera and HLA-coated beads. The HLA alloantibodies bind to the target antigens, followed by attachment of C1q to alloantibodies that are complement-binding. An anti-human C1q, conjugated with PE, is used as a reporter to indicate the presence of complement-binding HLA alloantibodies when analyzed in a Luminex® instrument. Another assay to detect complement-binding HLA alloantibodies was recently introduced into the market. This newest test uses an anti-human C3d antibody, thus analyzing a different downstream step of the classic complement pathway than the former C1q assay<sup>38</sup>.

Although a certain degree of correlation between the amount of alloantibody and complement-fixing activation should be expected, as at least six alloantibodies organized in hexamer are necessary to initiate the complement cascade<sup>39</sup>, the added value of this assay is debatable within the transplantation community. Several authors have suggested that this assay might be redundant when compared to the MFI value in the classic IgG SAB assay, especially if confounding analytical interferences are corrected<sup>40</sup>.

#### *Interpretative considerations*

Although cellular assays are of undeniable importance, one should also understand their limitations. All cell-based assays can give misleading results: false positivity, due to autoantibodies and non-HLA antibodies, and false negatives as a result of their lack of sensitivity for low titer alloantibodies.

With the newer SPI methods, laboratories intended to overcome these deficiencies. This technology proved to have several advantages over classic methods such as: i) elimination of the necessity for viable lymphocytes, allowing automation and becoming a high-throughput laboratory method; ii) consistent and extended HLA panel, ensuring HLA antigen representativeness; iii) sensitivity, detecting low titer alloantibodies; iv) specificity, detecting only HLA IgG alloantibodies and v) clear distinction between HLA class I and class II alloantibodies (Table 2).

However, regardless of SPI assays' unquestionable utility, in particular SAB assays that allow a greater accuracy in unacceptable HLA antigen assignment and the introduction of calculated panel reactive antibodies (cPRA), it is very important to understand that this methodology also has important limitations. SAB have limited HLA alleles, antigen density variations and

**Table 2**

Cellular vs. microspheres solid-phase assays, advantages and disadvantages.

Immunoassays	Cellular based		Microspheres solid-phase		
	CDC	FCXM	Screening	Phenotypic	Single-antigen
Antigen source	Ly	Ly	Cell-line pool	Cell-line	Rec allele
Antigen density	++	++	+	++	+++
Sensitivity	+	++	++	++	+++
Viable cells	Yes	Yes	No	No	No
Allows Ab identification	Yes*	No	No	Yes*	Yes
HLA class I & II Ab	No	No	Yes	Yes	Yes
C' fixing Ab	Yes	No	No	No	Yes#
Auto-Ab	Yes	Yes	No	No	No
IgM Ab	Yes	No	No	No	No
Denaturated Ab	No	No	No	No	Yes
Prozone (C') interference	No	No	No	No	Yes

CDC – Complement-dependent-cytotoxicity; FCXM – Flow cytometry crossmatch; Ly – Lymphocyte; Rec – Recombinant; Ab – antibody; C' – Complement.

\*Yes, but with limitations in high sensitized patients.

#Yes, with C1q or C3d assays.

Adapted from reference 41.

cryptic epitopes exposure, resulting in false positivity due to denatured antigens<sup>42</sup>. This laboratory assay is also affected by immunomodulatory treatments such as intravenous immunoglobulin, resulting in increased background<sup>43</sup>, and ATG treatment, where usually an HLA-A3 is identified because it is a polyclonal rabbit antibody raised against the Jurkat human T-cell line (HLA-A3, 32; B7, 35)<sup>44</sup>. It also suffers from complement activating alloantibodies interferences that deposit C1 complex on the beads, or IgM alloantibodies, interfering with secondary antibody binding giving false negative results, called prozone effect. Several changes have been proposed in order to upgrade the test, such as

dilutions, EDTA (ethylenediaminetetraacetic acid), DTT or heat treatment<sup>45</sup>.

All methods available should be considered in an integrated analysis (Table 3), along with history of sensitization, understanding that all techniques have different levels of sensitivity, detect different types of alloantibodies, and are subject to different interferences<sup>46</sup>. As such, the decision to proceed with a KT should be the result of a multidisciplinary team effort between clinicians and histocompatibility laboratory to ensure the best immunological evaluation possible.

**Table 3**

Interpretation of cellular vs. single-antigen bead immunoassay.

CDC LyT	CDC LyB	FCXM LyT	FCXM LyB	SAB HLA class I	SAB HLA class II	Interpretation
POS	POS	POS	POS	+	+	HLA class I & class II Ab
POS	POS	POS	POS	+	-	HLA class I Ab
NEG	POS	POS	POS	+	+	↓ HLA class I Ab & class II Ab
NEG	NEG	POS	NEG	+	-	- low expression Ab (HLA-Cw)
NEG	POS	POS	POS	+	-	↓ HLA class I Ab
NEG	NEG	POS	POS	+	-	↓↓ HLA class I Ab
NEG	POS	NEG	POS	-	+	HLA class II Ab
NEG	NEG	NEG	POS	-	+	↓ HLA class II Ab
NEG	NEG	POS	NEG	-	-	pronase interference in FCXM
POS	POS	POS	POS	-	-	non-HLA Ab
POS	POS	NEG	NEG	-	-	IgM Ab
NEG	NEG	NEG	NEG	-	-	no Ab detected

CDC – Complement-dependent-cytotoxicity; FCXM – Flow cytometry crossmatch; SAB – Single-antigen-bead; Ly – Lymphocyte; Ab – antibody; ↓ – low titer; ↓↓ – very low titer.

Adapted from reference 41.

## ■ WAITLIST TESTING OF ANTI-HLA ANTIBODIES

As described in Table 1, KT candidates in waitlist are tested quarterly, or after any sensitizing event, to assess allosensitization degree with panel reactive antibodies (PRA) value, and to assign unacceptable antigens (UA) for virtual crossmatch (vXM).

### ■ Defining unacceptable antigens

UA are assigned by SAB, using a reference cut-off that is usually 1000 MFI, and by CDC. The definition of UA for all wait-listed patients allows vXM determination, a preliminary *in silico* crossmatch that predicts positive crossmatches. The usefulness of this step is to avoid performing crossmatches that will be positive, although this is not an absolute contraindication *per se*.

### ■ Measuring transplantability

PRA is a measure of patient's degree of sensitization while on the waiting list for transplantation, representing the percentage of the population to which the patient is sensitized<sup>47</sup>. Traditionally, it was performed by CDC using a panel of HLA typed donors, allowing the identification of UA, although with a high degree of inaccuracy since each cell expresses six alleles. A candidate for kidney transplantation with a PRA>85% is considered HS and usually is prioritized in allocation programs.

This classic PRA value depends greatly in the panel composition, that may not represent the antigen frequencies in the donor population, besides the fact that rare antigens are usually missed in the panel, and CDC intrinsic low sensitivity. For this reason, PRA does not provide an accurate measure of transplantability and, with the development of SAB assays, cPRA was introduced providing consistency<sup>48</sup>. Nowadays cPRA has replaced classic PRA in most kidney allocation systems worldwide, with great improvements in transplantation rates for sensitized patients<sup>49</sup>.

## ■ STRATIFYING THE RISK OF PREFORMED DSA

Several centers have a sizeable experience in performing kidney transplants in the presence of preformed DSA, in which the potential risk of AMR is

considered acceptable<sup>14,50,51</sup>. They base their approach on the knowledge that not all DSA are equally pathogenic, with some of them being manageable through the use of increased immunosuppression or desensitization protocols. Hence, understanding DSA characteristics, namely strength (read as MFI), HLA class, complement-fixing ability or IgG subclasses, is paramount for an adequate stratification of the immunological risk at transplant.

### ■ DSA strength

Although SAB assay was not approved as quantitative, it has been used clinically as a semiquantitative assay for the estimation of a given anti-HLA alloantibody strength (measured as MFI). Several groups have shown that a higher DSA MFI is associated with AMR and allograft loss<sup>52-54</sup>.

Frequently, patients present at transplant with more than one DSA. So, determining if DSA strength measurement should consider the immunodominant DSA (highest MFI) or the MFI sum of all detected DSA (cumulative MFI) is an important, though still unanswered question. Many centers opt to use one of them, since the comparison of their predicted behavior has not produced a clear result<sup>54,55</sup>. Recently, Zecher *et al.*<sup>53</sup> showed, in non-desensitized patients, that only DSA MFI above 10000 (immunodominant or cumulative) were associated with AMR occurrence and reduced allograft survival. Our group has demonstrated that DSA strength had a good predictive performance for AMR occurrence, with a MFI >5000 in the immunodominant DSA having a sensitivity of 86% and a specificity of 73%<sup>55</sup>. Importantly, in our cohort, preformed DSA were associated with reduced kidney allograft survival only when AMR occurred. Similar results have been published by other groups<sup>52,54,56,57</sup>, although the MFI threshold to define DSA as clinically significant varied between 3000 and 10000 according to DSA number, HLA *loci* or the use of desensitization, limiting the reproducibility of these results. Alternatively, considering the pivotal role of HLA laboratory protocols, each center should work with their reference lab to define in-house clinically significant DSA MFI thresholds. Furthermore, the full extent of the data given by SAB assays must be integrated with other variables, such as past sensitization events, the immunosuppression or desensitization used and the results of cell-based crossmatches, namely flow cytometry<sup>58</sup>.

An alternative to DSA MFI, as a measure of alloantibody strength, is the determination of its titer, in

which serial dilutions are performed until the alloantibody is no longer detected, corresponding that dilution to the alloantibody titer<sup>40</sup>. This approach is inherently closer not only to the alloantibody strength but also to its avidity, pertaining a closer representation of the *in vivo* antibody pathogenicity. Nevertheless, the clinical application of alloantibody titration in kidney transplantation remains largely undetermined and the high cost and labor involved prevents, at the moment, its broader use<sup>59</sup>.

■ **DSA HLA class**

Historically, it was considered that DSA against HLA class I was chiefly associated with early immunological events, while DSA against HLA class II were more important in the development of late (chronic) rejection<sup>60</sup>. When detailed analyses of DSA by solid-phase assays became available, published data demonstrated that both classes are equally pathogenic<sup>54</sup>. Moreover, DSA against HLA-Cw, -DQ or -DP, previously underappreciated, have shown to be responsible for the development of acute or chronic AMR and should be taken into account (61, 62). Likewise, different groups have reported that the presence of DSA against both HLA classes poses a significantly higher risk of AMR and allograft failure<sup>52</sup>.

■ **Complement-binding DSA**

The activation of complement is an important step in DSA-driven allograft injury, starting with C1q binding and, downstream, C3 cleavage and production of C3d<sup>38</sup>. Modified SAB assays have been produced to detect DSA C1q- or C3d-fixing ability<sup>63</sup>. Several studies have analyzed complement-activating DSA ability correlation with its pathogenicity, with no clear-cut results. Some demonstrated a strong association between C1q- or C3d-binding DSA, AMR and allograft failure<sup>64,65</sup>, while others did not<sup>66,67</sup>. In the setting of preformed DSA, our group<sup>68</sup> found that C1q and IgG MFI were both correlated with AMR. C1q status was better than IgG DSA strength of at least 15000 MFI (OR=16.3 vs. 6.4, respectively) for predicting AMR. Furthermore, C1q+ DSA was a significant risk factor for AMR (OR=16.80, *P*=0.001) but high MFI DSA was not. Six-year allograft survival was also significantly lower in high MFI C1q+ DSA in comparison with high MFI C1q-, or low MFI DSA (38, 83 and 80%, respectively; *P*=0.001). Recently, similar results have been reported<sup>69</sup>. However, an important drawback of these assays is their close relationship with DSA MFI and titer, limiting our

ability in distinguishing the negative effects of complement-activating DSA from its MFI<sup>70</sup>. Moreover, a large study has reported that C1q+ DSA was not associated with allograft failure when present only before transplant<sup>64</sup>.

■ **DETAILING THE RISK OF *DE NOVO* DSA**

Some of the observations referred above in relation to preformed DSA should be taken into account when analyzing the risk of *de novo* DSA (*dn*DSA), although the specificities of the latter merit a closer look of clinical data in this setting. One issue that remains under discussion is the timeframe of *dn*DSA detection post-transplant. International guidelines published in 2013 (Table 4) indicate that, in the first-year post-transplant, all patients should undergo DSA screening at 3- and 12-months, besides other clinically-driven indications<sup>71</sup>. A more intense screening schedule is proposed for patients with higher immunological risk (e.g., those desensitized). After 1-year, they recommend the storage of serum every year, with DSA detection being performed when clinically indicated.

**Table 4**

Laboratory assays after kidney transplantation – consensus guidelines<sup>71</sup>

Post-transplant (0-12 months)	
<b>Very high risk (desensitized patients)</b>	DSA monitoring and protocol biopsy within the first three months.
<b>High risk (vXM positive &amp; negative CDC-XM)</b>	DSA monitoring and protocol biopsy within the first three months.
<b>Intermediate risk (historical positive vXM or CDC-XM)</b>	DSA monitoring within the first month, if negative follow-up as low risk.
<b>Low risk</b>	DSA screening at least once between the third and twelve months after KT and if significant changes in IS, suspicion of non-adherence, graft dysfunction or if transferred to another center.
Post-transplant (after the first year)	
<b>Every risk categories</b>	Store at least one serum per year DSA screening in a current serum if significant changes in IS, suspicion of non-adherence, graft dysfunction or transferal to another center.

DSA – donor-specific antibodies; vXM – virtual crossmatch; CDC-XM – complement-dependent-cytotoxicity crossmatch; KT – kidney transplant; IS – immunosuppression.

■ **Incidence and clinical impact of *de novo* DSA**

The negative impact of *dn*DSA on long-term kidney allograft survival is widely recognized<sup>72</sup>. Moreover, when analyzing the causes of allograft failure, the Deterioration of Kidney Allograft Function (DeKAF) study showed that

a majority of them was caused by rejection (64%), chiefly antibody-mediated<sup>73</sup>. Recently, in a case-control study, we reported<sup>74</sup> that DSA prevalence in patients with allograft failure (cases) was 56%, while only 16% in those remaining with a functioning allograft (controls).

Several recent longitudinal studies have detailed the role of *dn*DSA in allograft loss<sup>75,76</sup>. In previously non-sensitized kidney allograft recipients, incidence of *dn*DSA at 1-year was 11%, with an increase to around 20% at 5-years<sup>76</sup>. Wiebe et al.<sup>75</sup> documented *dn*DSA in 15% of low-risk patients at 4.6±3 years post-transplant, with a 10-year allograft survival of 57% in those with *dn*DSA and 96% in those without *dn*DSA. Importantly, they also demonstrated that non-adherence was the most important risk factor for *de novo* *dn*DSA emergence (OR=8.75,  $P<0.001$ ). In a cohort of simultaneous pancreas-kidney transplanted patients, we showed<sup>77</sup> that *dn*DSA were detected in 15% at a median 3.1 years after transplant. *dn*DSA were significantly associated with kidney (in association with acute rejection) and pancreas allograft failure.

#### ■ Clinical correlations of *de novo* DSA characteristics

##### **DSA strength**

Data on the impact of alloantibody MFI on allograft outcomes in the setting of *dn*DSA is scarcer than in preformed DSA. Recently, a longitudinal study analyzing the rates of progression to allograft failure in patients with *dn*DSA, showed that DSA MFI was an independent predictor of failure (HR per 1000 MFI=1.02,  $P=0.029$ )<sup>78</sup>. Heilman et al. observed that cumulative incidence of AMR or mixed rejection at 1 year was 30% in the group with *dn*DSA MFI >3000 but only 4% for the group with a MFI <3000<sup>79</sup>. Others have shown that *dn*DSA MFI levels were associated with higher rate of acute AMR but did not have a significant impact on allograft survival<sup>80</sup>.

##### **DSA HLA class**

One of the striking observations, when studying *dn*DSA, is the clear predominance of antibodies against HLA class II. Wiebe et al.<sup>75</sup> reported, in 47 patients *dn*DSA, that DSA anti-HLA class I only were present in 3 patients, while 32 had anti-HLA class II only and the remaining 12 had DSA against both HLA classes. Others also observed a more frequent detection of *dn*DSA against class II (77%) than against class I (38%) HLA antigens<sup>76</sup>. In this study, class I alloantibodies made up 42% of early DSA (< 6 months after transplantation) but were only 29% of late DSA (> 6 months after

transplantation). Conversely, class II was 58% of early DSA and 71% of late DSA. Importantly, no difference on allograft survival was observed comparing patients with *dn*DSA according to HLA class.

Another important observation reported by several studies is the high incidence of anti-DQ *dn*DSA. In the referred cohort by Wiebe et al.<sup>75</sup>, out of 44 patients with class II DSA, 31 had anti-DQ, while 21 had anti-DR DSA. Additionally, when they analyzed donor-recipient HLA-DR and -DQ matching at epitope level, higher epitope mismatch was significantly associated with *dn*DSA emergence against the respective *locus*<sup>81</sup>. More recently, they expanded these observations showing that non-adherence and higher epitope mismatch acted synergistically towards a higher risk of rejection or allograft loss<sup>82</sup>. Hence, the evaluation of patients' adherence and epitope mismatch load are valuable tools in the identification of patients that could benefit from increased clinical, histologic and immunological surveillance.

##### **Complement-binding DSA**

Modified SAB assays analyzing complement binding DSA ability (C1q- and C3d-SAB) have been applied widely in the post-transplant setting<sup>63</sup>. A large study examining the impact of C1q-binding DSA on kidney transplantation, reported that C1q+ DSA, when present in the first year after transplantation were associated with a higher risk of allograft loss (HR=4.78,  $P<0.001$ )<sup>64</sup>. These alloantibodies were also associated with an increased rate of AMR, a more extensive microvascular inflammation, and increased deposition of C4d within allograft capillaries. Others have demonstrated that presence of C3d-binding DSA at the time of AMR diagnosis (HR=2.80,  $P=0.03$ ) was an independent predictor of allograft loss<sup>65</sup>. More recently, Guidicelli et al.<sup>83</sup> observed that C1q-binding *dn*DSA were associated with allograft loss occurring rapidly after their appearance. However, the long-term persistence of C1q-nonbinding *dn*DSA also led to lower allograft survival, though in a more protracted manner.

These results raised great expectations in the transplant community, that these modified SAB assays could allow the identification of patients with *dn*DSA at a higher risk of allograft failure, and in whom specific treatments could be appropriate. However, these observations were not confirmed by other groups (59, 66), so the task of pertinently adjudicating risk when a patient is diagnosed with *dn*DSA remains challenging. Furthermore, some consider it advisable to perform histological evaluation when *dn*DSA are detected<sup>80</sup>,



although the absence of an effective treatment for subclinical *dn*DSA hampers this recommendation.

### ■ Immunoglobulin subclasses

Characterization of IgG subclasses has been performed by a modified SAB assay in the research setting, since originally it only measured total IgG (pan-IgG)<sup>84</sup>. Lefaucheur et al.<sup>85</sup> showed, in patients with DSA detected at 1-year post-transplant, that acute AMR was mainly driven by IgG3 DSA, whereas subclinical AMR by IgG4 DSA. Additionally, they demonstrated that IgG3 DSA was strongly and independently associated with allograft failure. These results provide clues about the pathogenicity of certain DSA and the immune response ensued by them. Still, the complexity of studying IgG subclasses constrains the possibility of its application in the clinical setting.

### ■ CONCLUSIONS

Laboratory assays for the study of HLA alloantibodies are crucial in kidney transplantation and have evolved greatly, from cell-based crossmatches to SPI. Although most information added by these new assays is valuable, its clinical relevance is not always unequivocal, so they should be discussed in an integrated manner between HLA laboratory experts and clinicians, in order to fully encompass each test's sensitivity and limitations. As such, the stratification of preformed DSA risk demands this concerted effort, to secure a correct identification of DSA and a meaningful assessment of the clinical implications pertained by them. While the analysis of DSA characteristics, as MFI or complement-binding ability, gives valuable information about the risk involved, it should be accompanied by a thorough evaluation of clinical data and the results of cell-based crossmatches. In the post-transplant setting, *dn*DSA screening should be individualized according to the immunological risk of each patient, determined not only at transplant (e.g., sensitization status, epitope mismatch load), but also according to significant clinical events (e.g., rejection episodes, documented non-adherence) observed afterwards. The emergence of *dn*DSA is, by itself, a risk factor for adverse outcomes, still a comprehensive alloantibody characterization, as complement-binding ability, may allow in each case a more granular analysis of the risk posed by *dn*DSA, particularly if concurrent histological evaluation is obtained.

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